

BEA USE ONLY

U.S. reporter ID

Industry of U.S. reporter

MANDATORY

CONFIDENTIAL QUARTERLY REPORT

TRANSACTIONS OF U.S. CORPORATION WITH FOREIGN PARENT

a. Name and address of U.S. reporter

b. Name of foreign parent or foreign affiliate of foreign parent

Mark here if report covers transactions or accounts with foreign affiliate of foreign parent. (See General Instructions)

c. Country of parent or affiliate named in b. above

BEA USE ONLY

d. Report for quarter ending

YR MO DA

If revision of previous report Mark here

RETURN TO

U.S. Department of Commerce
Bureau of Economic Analysis, BE-50(II)
Washington, D.C. 20230

IMPORTANT - Read instructions on back before completing form. REPORT ALL AMOUNTS IN THOUSANDS OF U.S. DOLLARS.

1. DIVIDENDS on common and preferred stock

a. Gross

b. Tax withheld

c. Net

2. INTEREST on bonds, notes, advances (net, after withholding taxes)

3. ROYALTIES, LICENSE FEES, RENTALS

a. Royalties and license fees, and charges for the purchase or use of intangible property.

b. Rentals for the use of tangible property

4. CHARGES BY, OR ON U.S. REPORTER FOR SERVICES RENDERED, including management fees and other foreign expenses.

5. SHORT TERM INTERCOMPANY ACCOUNTS, notes and advances outstanding WITH ORIGINAL MATURITY OF ONE YEAR OR LESS

a. Due to U.S. reporter

b. Payable by U.S. reporter

6. LONG TERM INTERCOMPANY ACCOUNTS, notes and advances outstanding WITH ORIGINAL MATURITY OVER ONE YEAR

a. Due to U.S. reporter

b. Payable by U.S. reporter

7. INITIAL PURCHASE AND CHANGES IN CAPITAL STOCK, and/or capital contributions by foreign parent (SEE SPECIFIC INSTRUCTIONS)

Type of security	Enter codes (See list at the right)		Percent of issue owned		Amount of transaction	
	Means of settlement (1)	Type of transaction (2)	Before (3)	After (4)	Decrease in holdings by parent (5)	Increase in holdings by parent (6)
a. Common or other voting stock	7.1	7.2	7.3	7.4	7.5	7.6
b. Other stock and/or capital contributions	7.7	7.8	7.9	7.10	7.11	7.12
c. If the other party to the transaction was the U.S. reporter, mark here <input type="checkbox"/>						

8. FOREIGN PARENT'S EQUITY IN U.S. REPORTER'S:

a. Quarterly net income (loss)

b. Net unrealized and realized capital gains (losses)

i. Included in 8a
ii. Taken directly to retained earnings or surplus

	Current quarter	Preceding quarter
8.1	8.2	
8.3	8.4	
8.5	8.6	

Complete items below ONCE A YEAR (SEE SPECIFIC INSTRUCTIONS)

	YR	MO	DA
9. For year ending			
10. FOREIGN PARENT'S EQUITY IN U.S. REPORTER'S ANNUAL NET INCOME (loss)	8.7		
11. FOREIGN PARENT'S INVESTMENT IN NET WORTH OF U.S. REPORTER			
	Amount	Percent owned	
a. Total investment (Sum of b. thru e.)	9.1		
b. Common stock	9.2	9.3 %	
c. Preferred stock	9.4	9.5 %	
d. Foreign parent's equity in retained earnings	9.6		
e. Other - Specify	9.7		

CODES FOR ITEM 7, COLUMNS (1) AND (2) (SEE SPECIFIC INSTRUCTIONS FOR ITEM 7)

Column (1) - Means of settlement

- 1-Cash (if funds were raised in the United States, please enter 1A).
- 2-Transfer of equipment, inventory, or other tangible property.
- 3-Capitalization of intercompany accounts or debt.
- 4-Exchange of stock of U.S. reporter for stock of foreign parent.
- 5-Stock dividends or other capitalization of surplus accounts.
- 6-Exchange of intangible property, including patents, know-how, rights, etc.
- 7-Other (Attach explanation)

Column (2) - Type of transaction

- 1-Establishment of new U.S. reporter
- 2-Additional capital contributions to existing U.S. reporter
Total or partial acquisition by foreign parent of stock of U.S. reporter:
- 3- from foreign owners
- 4- from U.S. owners or U.S. reporter
- 5-Liquidation of existing U.S. reporter
Total or partial sale by foreign parent of stock of U.S. reporter:
- 6- to foreign purchasers
- 7- to U.S. purchasers, including U.S. reporter
- 8-Other (Attach explanation)

REMARKS

GENERAL INSTRUCTIONS

Purpose — Reports on this form are required in order to provide reliable and up-to-date information on the direct investment operations of foreign persons in the U.S., affecting the U.S. balance of international payments. The information is required in order for the Government to meet its obligations under the Bretton Woods Agreements Act (59 Stat. 515, 22 U.S.C. 286f). The information is used solely for statistical purposes and published only in such aggregates which preclude the disclosure of data and information supplied by individual respondents.

Authority — Reports on Form BE-605 are mandatory under Section 8(b) of the Bretton Woods Agreements Act (59 Stat. 515, U.S.C. 286f). Failure to report can result in the issuance of a subpoena to appear and testify or to appear and produce records or other documents, or both, and failure to obey an order of a court to do so may be punished by such court as a contempt thereof. The report has been approved by the Office of Management and Budget under the Federal Reports Act (Public Law No. 831, 77th Congress). All replies will be held in confidence under the provisions of Section 4(b) of that Act; Section 8(c) of the Bretton Woods Agreements Act; and Section 4 of Executive Order Number 10033, February 8, 1949, 14F.R.561 (as amended by Executive Order Number 11269, February 14, 1966, 31F.R.2813).

Who Must Report — Reports on Form BE-605 are required from U.S. corporations 10 percent or more of whose voting securities are held directly and/or indirectly by a foreign person, except as exempted below. Related forms for reporting on foreign direct investment in the United States are:

BE-606	Transactions of Unincorporated U.S. Business Enterprise with Foreign Parent
BE-606B	Transactions of U.S. Banking Branch or Agency with Foreign Parent
BE-607	Industry Identification Questionnaire

Exemption — A U.S. business enterprise otherwise required to report on Form BE-605, 606, 606B, or 607, as applicable, is exempt if: for an incorporated enterprise, the book value of the foreign parent's holdings in securities, surplus accounts, and liability accounts of the reporter is less than \$2,000,000; for an unincorporated enterprise, the book value of the foreign parent's investment in the enterprise is less than \$2,000,000, except that banks, both incorporated and unincorporated, are exempt if total assets are less than \$3,000,000.

Number of Reports Required — If a U.S. reporter holds an equity interest in other U.S. business enterprises which are in the same industry as the U.S. reporter and which are also required to report, a consolidated report may be filed. A list of the enterprises included in the consolidation must be provided.

Where two or more foreign persons hold a reportable equity interest in a U.S. business enterprise, a separate report should be filed to report the transactions or accounts with each foreign parent.

Transactions or accounts with foreign affiliates of a foreign parent which are located in the same foreign country as the foreign parent should be included herein. If they are located in a different foreign country(ies) a separate report(s) must be filed. In the identification section of these additional reports, in item (d), mark the box indicating that this person is a foreign affiliate of the foreign parent and under "name of foreign parent or foreign affiliate of foreign parent" give the name of the foreign affiliate which the transaction or account is with; in item (e), under "country of parent or affiliate named in (d) above" enter the country of the foreign affiliate.

Filing of Reports — Form BE-605 is a quarterly report. A single copy of each report should be sent to U.S. Department of Commerce, Bureau of Economic Analysis, BE-50(II), Washington, D.C. 20230, within 30 days after the close of each calendar or fiscal quarter, except for the final quarter of the calendar or fiscal year, when reports may be filed within 45 days.

Miscellaneous — Requests for extension of the filing date, additional forms or clarifications of the reporting requirements or instructions should be directed to the same address.

Relationship to Treasury Foreign Exchange Forms B-1, B-2, B-3, and S-1 — For a U.S. reporter who is a bank and whose foreign parent is a bank, intercompany accounts reported on this form BE-605 should exclude accounts with a foreign parent reportable on Treasury Foreign Exchange Forms B-1, B-2, and B-3. Data covering earnings, income, fees or other charges remitted or credited, or permanent investments not includable in the Treasury forms, should be reported here. Exclude interest and fees relating to the items reportable on the Treasury Foreign Exchange Forms B-1, B-2, and B-3. For a U.S. reporter who is an insurance company, information relating to transfers of portfolio securities from or to the foreign parent must be reported on the Treasury S-1 form in addition to reporting on this form the effects they have on the asset, liability, or equity accounts of the U.S. reporter.

DEFINITIONS

U.S. Reporter — Shall mean the U.S. business enterprise for which a report is required. If the enterprise is in the nature of a leasehold or real property not identifiable by name, the report may be filed on behalf of the U.S. reporter by an agent or representative of the foreign beneficial owner or by such owner.

Person — Shall include an individual, partnership, association, corporation, estate, or trust or other organization, and an associated group of foreign persons who ordinarily exercise their voting rights in a business organization as a unit.

Foreign Parent — Shall mean any foreign person directly and/or indirectly owning 10 percent or more of the voting securities of a U.S. corporation or of other ownership equities in other types of U.S. organizations. In some cases there may be more than one parent. (If the amounts being reported are for transactions or accounts of the U.S. reporter with a person who is not the equity owner but who is acting for the equity owner—for example, with the home office of a bank or insurance company which itself is not the equity owner—that person shall be considered the foreign parent for purposes of this report.)

U.S. Corporation — A business enterprise incorporated in the United States or its territories and possessions.

SPECIFIC INSTRUCTIONS

Enter all amounts in thousands of U.S. dollars. The rounding must be done by dropping the last three digits as in the following example: (Example: \$1,033,242 should be reported as \$1,033). Amounts of less than \$500 should be entered as "0." If the information is not readily available, provide your best estimate and mark entry "Est."

Items 1-4 — Enter only amounts actually paid to, received from, or entered into account with the foreign parent by the U.S. reporter during the reporting period. Banks should exclude interest and fees relating to the items reportable on Treasury Foreign Exchange Forms B-1, B-2, and B-3. Stock dividends should be reported in item 7 (see below).

Item 3a — Report all royalties and license fees for the purchase or use of intangible property such as patents, processes, trade marks and copyrights paid to, received from, or entered into intercompany accounts during the reporting period.

Item 3b — Report all rentals for the use of equipment or other tangible property, paid to, received from, or entered into intercompany accounts during the reporting period.

Item 4 — Report all payments to, receipts from, or allocated charges to or by the foreign parent for services of a professional, administrative, or management nature and foreign expenses allocated to the U.S. reporter, including foreign taxes charged to the U.S. reporter, if any.

Items 5-6 — Include all intercompany accounts or indebtedness of the U.S. reporter, as consolidated for the purposes of this report, with the foreign parent, whether expressed in dollars or foreign currencies.

If the currency unit used in accounts reported in items 5-6 is other than U.S. dollars, translate to U.S. dollars using the exchange rate normally used by you for such translations. If an account contains entries which are denominated in more than one currency, translate all of them to dollars and aggregate these accounts to one dollar total for entry on the form. Note that the quarter's opening balance should reconcile with the previous quarter's closing balance; therefore, the same exchange rate should be used for translating the opening balance as was used to translate the closing balance given on the previous quarter's report. If the closing balance as given on the previous report was in error, please note the correction. A different rate might be used to translate the closing balance given on this report. Entries made in items 5 and 6 should be consistent with entries in items 1-4 insofar as they reflect the latter items. Banks should not include accounts reportable on Treasury Forms B-1, B-2, and B-3.

Item 7 — Enter here any changes in the holdings of the U.S. reporter's capital stock, including preferred stock and common, or ordinary, stock by the foreign parent and its affiliates located in the same country. Stock dividends, exchange of stock for intangible property, capital contributions by the parent company, and capitalization of intercompany accounts should also be included but should be identified separately in column (1). If the U.S. reporter is liquidated or sold wholly or partially, show in block 7.6 and/or 7.12 the amount obtained in liquidation, or the sales price. Report on an attachment the amount of profit or loss on the liquidation or sale based on the book value of the foreign parent's equity as shown on your books.

Item 7, Cols. (1) and (2) — Enter one or more codes in each column; if more than one code is applicable please give amount for each. See list of codes on front of form.

Item 8(a) — Earnings should be reported on a quarterly basis; **DO NOT** report earnings on a cumulative basis. Earnings for the period shown at the top of the form should be entered in the "current quarter" column. If the data are not available at the time the report is filed, enter NA in the "current quarter" column. **DO NOT DELAY FILING REPORT BECAUSE CURRENT QUARTER DATA ARE NOT AVAILABLE.** When the next quarter's report is filed, the omitted data should then be entered in the "preceding quarter" column; if again, the current quarter's data are not available, enter NA and continue the one quarter lag in reporting. The "preceding quarter" column should also be used to correct data which were substantially in error when given in the preceding report. Corrections for quarters prior to the preceding quarter should be given on a separate sheet.

The amount entered for this item must represent the foreign parent's share in: 1) the quarterly consolidated net income (loss) of your company and its U.S. subsidiaries and affiliates before provision for common and preferred dividends, but after provision for U.S. taxes (except withholding taxes on dividends); 2) your equity in the quarterly undistributed income of your foreign subsidiaries and affiliates; and 3) your equity in the quarterly undistributed income of all U.S. subsidiaries and affiliates not included in the above consolidation. For the purposes of this form this "equity" method, not the "cost" method, must be used in computing 2) and 3) above. Unrealized gains or losses resulting from changes in exchange rates should be included in earnings at the time they are recognized, according to your established accounting practices. Reporters engaged in extractive industries should report net income before depletion charges, except charges representing the amortization of the actual cost of capital assets. The income statement underlying this and related items should be on the "all inclusive" basis.

Item 8(b) — Net unrealized and realized capital gains (losses) include changes in assets and liabilities during the period due to changes in foreign exchange rates, unrealized capital gains (losses) resulting from valuation of investments, and realized capital gains (losses) from the disposition of assets. Enter in 8(b-i) the amount of those shown in the income account; those taken directly to retained earnings or a surplus account should be entered in 8(b-ii).

Item 10 — Same instruction as for item 8(a) applies except that this item refers to the annual figure for the year designated in item 9. It should be reported on the report for the quarter during which the relevant figure becomes available.

Item 11 — Enter here the foreign parent's equity in the U.S. reporter's net worth. Show the proportion of capital stock owned and equity in surplus accounts by the foreign parent, including its affiliates located in the same country, as of the end of the year designated in item 9. Retained earnings [11(d), and therefore 11(a)] must include the foreign parent's share of your equity in all foreign and U.S. subsidiary and affiliate retained earnings computed on the "equity" basis consistent with items 8 and 10.

FORM BE-606
(4-28-76)

U.S. DEPARTMENT OF COMMERCE
BUREAU OF ECONOMIC ANALYSIS

BEA USE ONLY

U.S. reporter ID

Industry of U.S. reporter

MANDATORY

CONFIDENTIAL QUARTERLY REPORT

TRANSACTIONS OF UNINCORPORATED U.S.
BUSINESS ENTERPRISE WITH FOREIGN PARENT

RETURN
TO

U.S. Department of Commerce
Bureau of Economic Analysis, BE-50 (II)
Washington, D.C. 20230

a. Name and address of U.S. reporter

b. Name of foreign parent or foreign affiliate of foreign parent

Mark here if report covers transactions or accounts with foreign affiliate of foreign parent. (See *General Instructions*.)

c. Country of parent or affiliate named in b. above.

BEA
USE
ONLY

d. Report for quarter ending

YR. MO. DA.

If revision of previous report, Mark here

IMPORTANT – Read instructions on back before completing form. REPORT ALL AMOUNTS IN THOUSANDS OF U.S. DOLLARS.

Item No.	Changes in Investment (See <i>Specific Instructions</i>)	Amount (Thousands of dollars)
1	Net investment by foreign parent at beginning of quarter	1
2	ADDITIONS Cash, securities, merchandise, equipment, etc., received from foreign parent	2
3	Royalties and license fees charged to (by) U.S. reporter for the purchase or use of intangible property	3
4	Management fees and other foreign expenses charged to (by) U.S. reporter and rentals for the use of tangible property	4
5	Interest charged to (by) U.S. reporter	5
6	Foreign parent's equity in U.S. reporter's quarterly net income (loss)	6
7	Other additions (<i>Specify</i>)	7
8	TOTAL ADDITIONS (Items 2 thru 7)	8
9	DEDUCTIONS Cash remittances of income to foreign parent	9
10	All other cash remittances to foreign parent	10
11	Shipments of merchandise, etc., to, or for the account of, the foreign parent	11
12	Other deductions (<i>Specify</i>)	12
13	TOTAL DEDUCTIONS (Items 9 thru 12)	13
14	Net investment by foreign parent at the end of quarter (<i>Item 1 + item 8 – item 13</i>)	14
15	Memorandum item: Net unrealized and realized capital gains (losses)	Included in item 6
16		Included in items 7 or 12
17	DO NOT FILL IN Change in net investment by foreign parent	17

Please note in this space any comments or qualifications which you feel might be helpful.

This historical survey form is no longer valid and is for information purposes only. www.bea.gov/help/information-for-survey-reporters.

GENERAL INSTRUCTIONS

Purpose — Reports on this form are required in order to provide reliable and up-to-date information on the direct investment operations of foreign persons in the U.S., affecting the U.S. balance of international payments. The information is required in order for the Government to meet its obligations under the Bretton Woods Agreements Act (59 Stat. 518, 22 U.S.C. 286f). The information is used solely for statistical purposes and published only in such aggregates which preclude the disclosure of data and information supplied by individual respondents.

Authority — Reports on Form BE-606 are mandatory under Section 8(b) of the Bretton Woods Agreements Act (59 Stat. 515, 22 U.S.C. 286f). Failure to report can result in the issuance of a subpoena to appear and testify or to appear and produce records or other documents, or both, and failure to obey an order of a court to do so may be punished by such court as a contempt thereof. The report has been approved by the Office of Management and Budget under the Federal Reports Act (Public Law No. 831, 77th Congress). All replies will be held in confidence under the provisions of Section 4(b) of that Act; Section 8(c) of the Bretton Woods Agreements Act; and Section 4 of Executive Order Number 10033 February 8, 1949, 14F.R. 561 (as amended by Executive Order Number 11269, February 14, 1966, 31F.R. 2813).

Who Must Report — Reports on Form BE-606 are required from unincorporated U.S. business enterprises (other than branches and agencies of foreign banks) in which a foreign person holds directly and/or indirectly 10 percent or more equity interest, except as exempted below. A branch is considered to be an unincorporated business enterprise. Related forms for reporting on foreign direct investment in the United States are:

- BE-605 Transactions of U.S. Corporation With Foreign Parent
- BE-606B Transactions of U.S. Banking Branch or Agency With Foreign Parent
- BE-607 Industry Identification Questionnaire

Exemption — A U.S. business enterprise otherwise required to report on Form BE-605, 606, 606B, or 607, as applicable, is exempt if: for an incorporated enterprise, the book value of the foreign parent's holdings in securities, surplus accounts, and liability accounts of the reporter is less than \$2,000,000; for an unincorporated enterprise, the book value of the foreign parent's investment in the enterprise is less than \$2,000,000; except that banks, both incorporated and unincorporated, are exempt if total assets are less than \$3,000,000.

Number of Reports Required — If a U.S. reporter holds an equity interest in other U.S. business enterprises which are in the same industry as the U.S. reporter and which are also required to report, a consolidated report may be filed. A list of the enterprises included in the consolidation must be provided.

Where two or more foreign persons hold a reportable equity interest in a U.S. business enterprise, a separate report should be filed to report the transactions or accounts with each foreign parent.

Transactions or accounts with foreign affiliates of a foreign parent which are located in the same foreign country as the foreign parent should be included herein. If they are located in a different foreign country(ies) a separate report(s) must be filed. In the identification section of these additional reports, in item (d), mark the box indicating that this person is a foreign affiliate of the foreign parent and under "name of foreign parent or foreign affiliate of foreign parent" give the name of the foreign affiliate which the transaction or account is with; in item (e), under "country of parent or affiliate named in (d) above" enter the country of the foreign affiliate.

Filing of Reports — Form BE-606 is a quarterly report. A single copy of each report should be sent to U.S. Department of Commerce, Bureau of Economic Analysis, BE-50 (II), Washington, D.C. 20230, within 30 days after the close of each calendar or fiscal quarter, except for the final quarter of the calendar or fiscal year, when reports may be filed within 45 days.

GENERAL INSTRUCTIONS — Continued

Miscellaneous — Requests for extension of the filing date, additional forms or clarifications of the reporting requirements or instructions should be directed to the same address.

Relationship to Treasury Foreign Exchange Form S-1 — For a U.S. reporter who is an insurance branch or agency, information relating to transfers of portfolio securities from or to the foreign parent must be reported on the Treasury S-1 form in addition to reporting on this form the effects of such transfers either as an addition to or deduction from the net investment of the foreign parent in the U.S. reporter.

DEFINITIONS

U.S. Reporter — Shall mean the U.S. business enterprise for which a report is required. If the enterprise is in the nature of a leasehold or real property not identifiable by name, the report may be filed on behalf of the U.S. reporter by an agent or representative of the foreign beneficial owner or by such owner.

Person — Shall include an individual, partnership, association, corporation, estate, or trust or other organization, and an associated group of foreign persons who ordinarily exercise their voting rights in a business organization as a unit.

Foreign Parent — Shall mean any foreign person directly and/or indirectly owning 10 percent or more of the voting securities of a U.S. corporation or of other ownership equities in other types of U.S. organizations. In some cases there may be more than one parent. (If the amounts being reported are for transactions or accounts of the U.S. reporter with a person who is not the equity owner but who is acting for the equity owner — for example, with the home office of a bank or an insurance company which itself is not the equity owner — that person shall be considered the foreign parent for purposes of this report.)

SPECIFIC INSTRUCTIONS

Enter all amounts in thousands of U.S. dollars. The rounding must be done by dropping the last three digits as in the following example: (Example: \$1,033,242 should be reported as \$1,033). Amounts of less than \$500 should be entered as "0." If the information is not readily available, provide your best estimate and mark entry "Est."

Items 1 and 14 — Net investment by foreign parent should comprise all assets of the unincorporated U.S. reporter, including those carried only on the foreign parent's books, less liabilities.

Item 3 — Report all royalties and license fees charged to U.S. reporter for the purchase or use of intangible property such as patents, processes, trademarks, and copyrights.

Item 4 — Report all charges for services of a professional, administrative, or management nature, foreign expenses allocated to the U.S. reporter, including foreign taxes charged to the U.S. reporter, if any, and rentals for the use of equipment or other tangible property, paid or credited to the foreign parent during the reporting period.

Item 6 — Report the foreign parent's equity in the U.S. reporter's quarterly net income (loss) after provision for U.S. taxes and after deducting foreign parent charges to the U.S. reporter for royalties and license fees, management fees and foreign expenses, including foreign taxes, rentals, and interest. Such charges by the foreign parent should be reflected in items 3, 4, and 5. U.S. reporters engaged in extractive industries should report net income before depletion charges, except charges representing the amortization of the actual cost of capital assets. The income statement underlying this and related items should be on the "all inclusive" basis.

Items 9 and 10 — If cash remittances are not segregated as to purpose, report all cash remittances in item 10.

Items 15 and 16 — Net unrealized and realized capital gains (losses) include changes in assets and liabilities during the period due to changes in foreign exchange rates, unrealized capital gains (losses) resulting from valuation of investments, and realized capital gains (losses) from the disposition of assets. Enter in 15 the amount of those included in income; those not shown in income, but included in other additions (item 7) or other deductions (item 12) should be entered in 16.

FORM BE-606B
45-1-76)

U.S. DEPARTMENT OF COMMERCE
BUREAU OF ECONOMIC ANALYSIS

BEA USE ONLY 

U.S. reporter ID

Industry of U.S. reporter

MANDATORY

CONFIDENTIAL QUARTERLY REPORT

**TRANSACTIONS OF U.S. BANKING
BRANCH OR AGENCY WITH FOREIGN PARENT**

**RETURN
TO** 

U.S. Department of Commerce
Bureau of Economic Analysis, BE-50(II)
Washington, D.C. 20230

**IMPORTANT - Read instructions
on back before completing form.
REPORT ALL AMOUNTS IN
THOUSANDS OF U.S. DOLLARS.**

a. Name and address of U.S. reporter

b. Name of foreign parent or foreign affiliate of foreign parent

Mark here if report covers transactions or accounts with foreign affiliate of foreign parent. (See *General Instructions*.)

c. Country of parent or affiliate named in b. above

BEA USE ONLY

d. Report for quarter ending

YR	MO	DA

 If revision of previous report, Mark here

Item No.	Changes in Investment (See specific instructions)	Amount (Thousands of dollars)
1	Items paid or credited to foreign parent (debit -) Royalties and license fees charged to U.S. reporter for the purchase or use of intangible property	1
2	Management fees and other foreign expenses charged to U.S. reporter and rentals for the use of tangible property	2
3	Interest charged to U.S. reporter (Exclude charges related to the capital amounts reported on Treasury Foreign Exchange Forms B-1, B-2, and B-3)	3
4	Foreign parent's equity in U.S. reporter's quarterly net income (loss)	4
5	Net investment by foreign parent in U.S. reporter (Exclude amounts reported on Treasury Foreign Exchange Forms B-1, B-2, and B-3) See <i>Specific Instructions</i> .	At beginning of quarter
6		At end of quarter
7	Memorandum item: Net unrealized and realized capital gains (losses)	Included in item 4
8		Excluded from item 4, but included in the change between the beginning and end of quarter investment
9	DO NOT FILL IN Change in net investment by foreign parent	9

Please note in this space any comments or qualifications which you feel might be helpful.

GENERAL INSTRUCTIONS

Purpose — Reports on this form are required in order to provide reliable and up-to-date information on the direct investment operations of foreign persons in the U.S., affecting the U.S. balance of international payments. The information is required in order for the Government to meet its obligations under the Bretton Woods Agreements Act (59 Stat. 515, 22 U.S.C. 286f). The information is used solely for statistical purposes and published only in such aggregates which preclude the disclosure of data and information supplied by individual respondents.

Authority — Reports on Form BE-606B are mandatory under Section 8(b) of the Bretton Woods Agreements Act (59 Stat. 515, 22 U.S.C. 286f). Failure to report can result in the issuance of a subpoena to appear and testify or to appear and produce records or other documents, or both, and failure to obey an order of a court to do so may be punished by such court as a contempt thereof. The report has been approved by the Office of Management and Budget under the Federal Reports Act (Public Law N. 831, 77th Congress). All replies will be held in confidence under the provisions of Section 4(b) of that Act; Section 8(c) of the Bretton Woods Agreements Act; and Section 4 of Executive Order number 10033, February 8, 1949, 14 F.R.561 (as amended by Executive Order number 11269, February 14, 1966, 31 F.R.2813).

Who Must Report — Reports on Form BE-606B are required from U.S. banks which are branches or agencies of foreign banking firms, except as exempted below. Related forms for reporting foreign direct investments in the United States are:

- BE-605 Transactions of U.S. Corporation With Foreign Parent
- BE-606 Transactions of Unincorporated U.S. Business Enterprise with Foreign Parent
- BE-607 Industry Identification Questionnaire

Exemption — A U.S. business enterprise otherwise required to report on Form BE-605, 606, 606B, or 607, as applicable, is exempt if: for an incorporated enterprise, the book value of the foreign parent's holdings in securities, surplus accounts, and liability accounts of the reporter is less than \$2,000,000; for an unincorporated enterprise, the book value of the foreign parent's investment in the enterprise is less than \$2,000,000; except that banks, both incorporated and unincorporated, are exempt if total assets are less than \$3,000,000.

Number of Reports Required — A consolidated report may be filed for more than one U.S. banking branch or agency of the same foreign parent.

Where two or more foreign persons hold a reportable equity interest in a U.S. business enterprise, a separate report should be filed to report the transactions or accounts with each foreign parent.

Transactions or accounts with foreign affiliates of a foreign parent which are located in the same foreign country as the foreign parent should be included herein. If they are located in a different foreign country(ies) a separate report(s) must be filed. In the identification section of these additional reports, in item (d), mark the box indicating that this person is a foreign affiliate of the foreign parent and under "name of foreign parent or foreign affiliate of foreign parent" give the name of the foreign affiliate which the transaction or account is with; in item (e), under "country of parent or affiliate named in (d) above" enter the country of the foreign affiliate.

Filing of Reports — Form BE-606B is a quarterly report. A single copy of each report should be sent to U.S. Department of Commerce, Bureau of Economic Analysis, BE-50 (II), Washington, D.C. 20230, within 30 days after the close of each calendar or fiscal quarter, except for the final quarter of the calendar or fiscal year, when reports may be filed within 45 days.

Miscellaneous — Requests for extension of the filing date, additional forms or clarifications of the reporting requirements or instructions should be directed to the same address.

Relationship to Treasury Foreign Exchange Forms B-1, B-2, and B-3 — Branch accounts reported on BE-606B should exclude accounts with a foreign parent reportable on Treasury Foreign Exchange Forms B-1, B-2, and B-3. Data covering permanent investments and related earnings, income, and fees or other charges remitted or credited, should be reported here. Exclude interest and fees relating to the items reportable on Treasury Foreign Exchange Forms B-1, B-2, and B-3.

DEFINITIONS

U.S. reporter — Shall mean the U.S. business enterprise for which a report is required. If the enterprise is in the nature of a leasehold or real property not identifiable by name, the report may be filed on behalf of the U.S. reporter by an agent or representative of the foreign beneficial owner or by such owner.

Person — Shall include an individual, partnership, association, corporation, estate, or trust or other organization, and an associated group of foreign persons who ordinarily exercise their voting rights in a business organization as a unit.

Foreign parent — Shall mean any foreign person directly and/or indirectly owning 10 percent or more of the voting securities of a U.S. corporation or of other ownership equities in other types of U.S. organizations. In some cases there may be more than one parent. (If the amounts being reported are for transactions or accounts of the U.S. reporter with a person who is not the equity owner but who is acting for the equity owner — for example, with the home office of a bank or insurance company which itself is not the equity owner — that person shall be considered the foreign parent for purposes of this report.)

U.S. branch or agency — Shall mean an unincorporated business enterprise subject to the jurisdiction of the United States, including all assets or liabilities connected with the operations of such a branch or agency.

SPECIFIC INSTRUCTIONS

Enter all amounts in thousands of U.S. dollars. The rounding must be done by dropping the last three digits as in the following example: (Example: \$1,033,242 should be reported as \$1,033). Amounts of less than \$500 should be entered as "0." If the information is not readily available, provide your best estimate and mark entry "Est."

Items 1 - 3 — See General Instructions above on relationship to Treasury Foreign Exchange Forms B-1, B-2, and B-3.

Item 1 — Report all royalties and license fees charged to U.S. reporter for the purchase or use of intangible property such as patents, processes, trademarks, and copyrights.

Item 2 — Report all charges for services of a professional, administrative, or management nature, foreign expenses allocated to the U.S. reporter, including foreign taxes charged to the U.S. reporter, and rentals for the use of equipment or other tangible property, paid or credited to the foreign parent during the reporting period.

Item 4 — Report the foreign parent's equity in the U.S. reporter's quarterly net income (loss) after provision for U.S. taxes and after deducting foreign parent charges to the U.S. reporter for royalties and license fees, management fees and foreign expenses, including foreign taxes, rentals, and interest. Such charges by the foreign parent should be reflected in items 1, 2, and 3. The income statement underlying this and related items should be on the "all inclusive" basis.

Items 5 and 6 — Net investment by foreign parent in U.S. reporter should comprise all assets of the branch or agency, including those carried only on the foreign parent's books, less liabilities. (See General Instructions above on relationship to Treasury Foreign Exchange Forms B-1, B-2, and B-3).

Item 7 — Net unrealized and realized capital gains (losses) include changes in assets and liabilities during the period due to the change in foreign exchange rates, unrealized capital gains (losses) resulting from valuation of investments, and realized capital gains (losses) from the disposition of assets. Enter in 7 the amount of those included in income; those excluded from income but included as a change in the parent's net investment between the beginning and end of the quarter and reflected in the change between items 5 and 6, should be entered in 8.

FORM BE-606B (5-1-76) U.S. DEPARTMENT OF COMMERCE BUREAU OF ECONOMIC ANALYSIS

BEA USE ONLY

U.S. reporter ID

Industry of U.S. reporter

MANDATORY

CONFIDENTIAL QUARTERLY REPORT

TRANSACTIONS OF U.S. BANKING BRANCH OR AGENCY WITH FOREIGN PARENT

a. Name and address of U.S. reporter

b. Name of foreign parent or foreign affiliate of foreign parent

Mark here if report covers transactions or accounts with foreign affiliate of foreign parent. (See *General Instructions*.)

c. Country of parent or affiliate named in b. above

BEA USE ONLY

d. Report for quarter ending

YR MO DA

If revision of previous report, Mark here

RETURN TO

U.S. Department of Commerce
Bureau of Economic Analysis, BE-50(II)
Washington, D.C. 20230

IMPORTANT - Read instructions on back before completing form. REPORT ALL AMOUNTS IN THOUSANDS OF U.S. DOLLARS.

Item No.	Changes in Investment (See <i>specific instructions</i>)	Amount (Thousands of dollars)
1	Items paid or credited to foreign parent (debit -) Royalties and license fees charged to U.S. reporter for the purchase or use of intangible property	1
2	Management fees and other foreign expenses charged to U.S. reporter and rentals for the use of tangible property	2
3	Interest charged to U.S. reporter (Exclude charges related to the capital amounts reported on Treasury Foreign Exchange Forms B-1, B-2, and B-3)	3
4	Foreign parent's equity in U.S. reporter's quarterly net income (loss)	4
5	Net investment by foreign parent in U.S. reporter (Exclude amounts reported on Treasury Foreign Exchange Forms B-1, B-2, and B-3) See <i>Specific Instructions</i> .	At beginning of quarter
6		At end of quarter
7	Memorandum item: Net unrealized and realized capital gains (losses)	Included in item 4
8		Excluded from item 4, but included in the change between the beginning and end of quarter investment
9	DO NOT FILL IN Change in net investment by foreign parent	9

Please note in this space any comments or qualifications which you feel might be helpful.

GENERAL INSTRUCTIONS

Purpose — Reports on this form are required in order to provide reliable and up-to-date information on the direct investment operations of foreign persons in the U.S., affecting the U.S. balance of international payments. The information is required in order for the Government to meet its obligations under the Bretton Woods Agreements Act (59 Stat. 515, 22 U.S.C. 286f). The information is used solely for statistical purposes and published only in such aggregates which preclude the disclosure of data and information supplied by individual respondents.

Authority — Reports on Form BE-606B are mandatory under Section 8(b) of the Bretton Woods Agreements Act (59 Stat. 515, 22 U.S.C. 286f). Failure to report can result in the issuance of a subpoena to appear and testify or to appear and produce records or other documents, or both, and failure to obey an order of a court to do so may be punished by such court as a contempt thereof. The report has been approved by the Office of Management and Budget under the Federal Reports Act (Public Law N. 831, 77th Congress). All replies will be held in confidence under the provisions of Section 4(b) of that Act; Section 8(c) of the Bretton Woods Agreements Act; and Section 4 of Executive Order number 10033, February 8, 1949, 14 F.R.561 (as amended by Executive Order number 11269, February 14, 1966, 31 F.R.2813).

Who Must Report — Reports on Form BE-606B are required from U.S. banks which are branches or agencies of foreign banking firms, except as exempted below. Related forms for reporting foreign direct investments in the United States are:

- BE-605 Transactions of U.S. Corporation With Foreign Parent
- BE-606 Transactions of Unincorporated U.S. Business Enterprise with Foreign Parent
- BE-607 Industry Identification Questionnaire

Exemption — A U.S. business enterprise otherwise required to report on Form BE-605, 606, 606B, or 607, as applicable, is exempt if: for an incorporated enterprise, the book value of the foreign parent's holdings in securities, surplus accounts, and liability accounts of the reporter is less than \$2,000,000; for an unincorporated enterprise, the book value of the foreign parent's investment in the enterprise is less than \$2,000,000; except that banks, both incorporated and unincorporated, are exempt if total assets are less than \$3,000,000.

Number of Reports Required — A consolidated report may be filed for more than one U.S. banking branch or agency of the same foreign parent.

Where two or more foreign persons hold a reportable equity interest in a U.S. business enterprise, a separate report should be filed to report the transactions or accounts with each foreign parent.

Transactions or accounts with foreign affiliates of a foreign parent which are located in the same foreign country as the foreign parent should be included herein. If they are located in a different foreign country(ies) a separate report(s) must be filed. In the identification section of these additional reports, in item (d), mark the box indicating that this person is a foreign affiliate of the foreign parent and under "name of foreign parent or foreign affiliate of foreign parent" give the name of the foreign affiliate which the transaction or account is with; in item (e), under "country of parent or affiliate named in (d) above" enter the country of the foreign affiliate.

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